ARIZONA DEPARTMENT OF ECONOMIC SECURITY
Workforce Innovation and Opportunity Act (WIOA) Title I-B



# TRANSFER OF FUNDS REQUEST FORM

1. Subrecipient/Sub-awardee: Pinal County
2. Subrecipient/Sub-awardee ID Number: DI21-002287

WIOA Section 133 (b) (4) provides the authority for Local Workforce Development Boards (LWDBs) the ability to transfer, if such a transfer is approved by the Arizona Department of Economic Security (ADES), up to and including 100 percent of the funds allocated to the Local Workforce Development Area (LWDA) under paragraph (2)(A) or (3), and up to and including 100 percent of the funds allocated to the local area under paragraph (2)(B), for a fiscal year between– (A) adult employment and training activities; and (B) dislocated worker employment and training activities.

WIOA Title I-B Fiscal Policy, Section 400-Transfer of Funds, allows LWDBs to request a transfer of funds between the WIOA Title I-B Adult and the Dislocated Worker Programs when funds in a LWDA have not been fully expended for a Program Year (PY).

This request does not change the amount of the allocation, but only the use of funds for the respective programs.

Adult Current Allocation* of $ 615,088.00 To New Allocation of $ 865,088.00 PY _____ / FY 24

Dislocated Worker Current Allocation* of $ 507,859.0( To New Allocation of $ 257,859 PY _____ / FY 24

**Arizona Department Of Economic Security**

Signature of Authorized Individual:

Typed Name: _____

Title: _____ Date: _____

**Subrecipient/Sub-Awardee**

Signature of Authorized Individual: James Smith

Typed Name: James Smith

Title: Director, Pinal County Economic & Workforce Development Dept. Date: 11/8/24

**Any previously approved transfers should be included in the respective "Current Allocation" (e.g. A previously approved DW to AD transfer should be reflected in the "Current Allocation" for AD).*

See page 3 for EOE/ADA disclosures

## Written Request

Date: 11/22/2024

Local Workforce Development Board: Pinal County Workforce Development Board

| Funding Source of Transfer | | Amount of Transfer Requested | Percent of Transfer Requested |
|---|---|---|---|
| ☐ Adult | ☑ DW | $ 250,000.00 | 34.75 % |

1. Describe the situation that necessitates the LWDAs need to transfer of funds. Include labor market information and other economic conditions that contributed to the need for this transfer request.

Pinal County's labor market shows high employment in Retail Trade and Food Services, sectors with lower wages compared to Manufacturing, Construction, Health Services, and Logistics. Rising living costs have significantly increased the demand for up-skilling making Adult services essential to help individuals transition into higher-paying industries. In recent history Adult enrollments have out paced Dislocated Workers by a 5:1ratio, necessitating increased funding for career development.

2. Explain how the transfer of funds will impact the participant levels in both the Adult and Dislocated Worker Programs, taking into account that individuals who may be eligible for the Dislocated Worker Program include displaced homemakers, certain spouses of active-duty members of the Armed Forces, etc*. For example, if the LWDB is requesting to transfer 100% of its funding for Dislocated Worker Programs to the Adult Program, the LWDB must provide a detailed explanation of how it plans to ensure career and training services are available to eligible dislocated workers in the LWDA, such as transferring the dislocated worker funds from the prior year and using current funding to provide dislocated worker services. Provide an estimate of the number of Adults and Dislocated Workers expected to be served if the transfer is granted.

This proactive target accounts for historic and projected demand. Based on current funding, service numbers would be more balanced, somewhere in the vicinity of 100 Adults and 100 Dislocated Workers; however, there is excess Dislocated Worker service capacity due to funding allocations. The transfer will enable the LWDA to meet increased Adult service demand without compromising Dislocated Worker support. DW services will continue with remaining PY23 and PY24 allocations.

3. Explain the effect of the transfer on current providers of training and other services. Include the impact on jointly funded employment and training programs in the local ARIZONA@WORK Job Center.

The transfer will have a positive impact on ARIZONA@WORK Pinal County's workforce development system, enabling the expansion of training services for in-demand occupations like Manufacturing, Logistics, and Health Services. No disruption in services for DW participants is anticipated, and the expanded Adult services will enhance collaborations amongst ARIZONA@WORK Pinal County partners which includes the employer community.

** Refer to the State policy, section 100 for a description of the Dislocated Worker eligibility categories: The policy is located at the Title I-B Policy and Procedure Manual, under the tab policy and Procedure Manual.*

4. Describe the expected change in WIOA performance outcomes in terms of percentage (for example an increase in employment rate) of both funding streams if funding is better aligned with participants' needs in the LWDA.

By aligning funding with economic conditions and labor market demand, this transfer right-sizes program capacity to foster positive outcomes. We anticipate an additional 25-30 credentials earned by Adult participants, resulting in a 3-5% increase in credential rate. These increases should drive a 10-15% improvement in placements, which, in turn, supports gains in retention, in-demand skills, earnings, co-enrollments, and overall participant success across both funding streams.

## Assurances

I assure that the transfer of funds requested herein:

1. Will not adversely affect individuals needing services provided by the program subject to reduced funding;
2. Will not reduce the required rate of expenditure by the end of the program year for the funding source to which funds are transferred; and
3. Is made after conferring with the Chief Elected Official.

| Joel Millman | | 11/08/2024 |
|---|---|---|
| Name of LWDB Director (Printed) | Signature | Date |
| Harold Christ | | |
| Name of LWDB Chairperson (Printed) | Signature | Date |

### Submittal Instructions

This form should be submitted electronically to the Department of Economic Security for review to:

Statewide Workforce System Manager

Program Oversight and Support Administration

Division of Employment & Rehabilitation Services (DERS)

Email to: WIOA Program

Equal Opportunity Employer / Program • Auxiliary aids and services are available upon request to individuals with disabilities • To request this document in alternative format or for further information about this policy, contact your local office; TTY/TDD Services: 7-1-1