

RISK ASSESSMENT FY23

RISK ASSESSMENT THEMES

Risk	Notes/Themes
IT Infrastructure	<ul style="list-style-type: none"> • County is currently evaluating a new ERP system • Misalignment between funding received for IT projects vs funding the fast growth of the County • Jerry leaving caused a lot of knowledge loss • Application risk - employees who custom coded application/know how it works no longer with the County • Applications to be moved to the cloud
Elections Process	<ul style="list-style-type: none"> • 3rd party reviewed elections administrations (Brad Nelson) • Policies and procedures may not be comprehensive • Don't want another public scandal • Want to ensure no issues arise • Need to perform test runs and resolve even minor of issues
Succession Planning / Leadership	<ul style="list-style-type: none"> • Lack of knowledge within departments • No initiative for building out county wide succession plans • Promoted managers do not know how to manage, need training
Capital Projects	<ul style="list-style-type: none"> • Lack of planning with roads and homes • County is very reactionary (vs long term strategic plan) • Adjusting the utilization of a departments process to the physical structure of an existing building causes issues • Facilities are in need of major maintenance

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Data Governance	<ul style="list-style-type: none"> • Data is not collected by individual departments • County management and BOS not sure where departments stand/their progress due to lack of data • Little to no use of meaningful data even in the existence of data collection
Constituent Relations	<ul style="list-style-type: none"> • Communication to Pinal County constituents is not robust in providing information timely, efficiently, and effectively. • Hurting constituents with certain regulations (RV, permits take a long time, etc.) • Inconvenient accessibility • Slow response to constituents
Community Development	<ul style="list-style-type: none"> • 3rd party reviewed • Processes need to be streamlined – several instances when it has taken a long time for permitting • Hiring people with minimal skills and business professionalism
Policies and Procedures	<ul style="list-style-type: none"> • Policies are outdated countywide • Need more access to policies (software/hardware)